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<b>UTILITY PATENT APPLICATION TRANSMITTAL</b>  (Only for new nonprovisional applications under 37 CFR 1.53(b))	Attorney Docket No.	AUTO 821-C1
	First Inventor	Frederick T. Bauer et al.
	Title	Devices Incorporating Electrochromic Elements And Optical Sensors
	Express Mail Label No.	EK674197085US

<b>APPLICATION ELEMENTS</b> See MPEP chapter 600 concerning utility patent application contents.	<b>ADDRESS TO:</b> Mail Stop Patent Application Commissioner for Patents P.O. Box 1450 Alexandria VA 22313-1450
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1. ☒ Fee Transmittal Form (e.g., PTO/SB/17)  
(Submit an original and a duplicate for fee processing)
2. ☐ Applicant claims small entity status.  
See 37 CFR 1.27.
3. ☒ Specification [Total Pages 39]  
(preferred arrangement set forth below)
  - Descriptive title of the invention
  - Cross Reference to Related Applications
  - Statement Regarding Fed sponsored R & D
  - Reference to sequence listing, a table, or a computer program listing appendix
  - Background of the Invention
  - Brief Summary of the Invention
  - Brief Description of the Drawings (if filed)
  - Detailed Description
  - Claim(s)
  - Abstract of the Disclosure
4. ☒ Drawing(s) (35 U.S.C. 113) [Total Sheets 9]
5. Oath or Declaration [Total Sheets 2]
  - a. ☐ Newly executed (original or copy)
  - b. ☒ Copy from a prior application (37 CFR 1.63(d))  
(for continuation/divisional with Box 18 completed)
  - i. ☐ **DELETION OF INVENTOR(S)**  
Signed statement attached deleting inventor(s) name in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).
6. ☒ Application Data Sheet. See 37 CFR 1.76

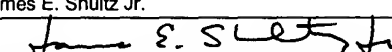
7. ☐ CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)
8. Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)
  - a. ☐ Computer Readable Form (CRF)
  - b. Specification Sequence Listing on:
    - i. ☐ CD-ROM or CD-R (2 copies); or
    - ii. ☐ Paper
  - c. ☐ Statements verifying identity of above copies

<b>ACCOMPANYING APPLICATION PARTS</b>	
<b>Associate</b>	
9. <input type="checkbox"/> Assignment Papers (cover sheet & document(s))	
10. <input type="checkbox"/> 37 CFR 3.73(b) Statement (when there is an assignee)	<input checked="" type="checkbox"/> Power of Attorney
11. <input type="checkbox"/> English Translation Document (if applicable)	
12. <input type="checkbox"/> Information Disclosure Statement (IDS)/PTO-1449	<input type="checkbox"/> Copies of IDS Citations
13. <input type="checkbox"/> Preliminary Amendment	
14. <input checked="" type="checkbox"/> Return Receipt Postcard (MPEP 503) (Should be specifically itemized)	
15. <input type="checkbox"/> Certified Copy of Priority Document(s) (if foreign priority is claimed)	
16. <input type="checkbox"/> Nonpublication Request under 35 U.S.C. 122 (b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent.	
17. <input checked="" type="checkbox"/> Other: Express Mail Certificate, Authorization to Charge Deposit Account.	

18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in the first sentence of the specification following the title, or in an Application Data Sheet under 37 CFR 1.76:

<input checked="" type="checkbox"/> Continuation	<input type="checkbox"/> Divisional	<input type="checkbox"/> Continuation-in-part (CIP)	of prior application No.: 09/678,586.....
Prior application information: Examiner <u>Ben, Loha</u> Art Unit: <u>2873</u>			
For CONTINUATION OF DIVISIONAL APPS only; The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.			

<b>19. CORRESPONDENCE ADDRESS</b>			
<input checked="" type="checkbox"/> Customer Number:  OR <input type="checkbox"/> Correspondence address below			
Name			
Address	<u>28167</u>		
City	PATENT TRADEMARK OFFICE	State	Zip Code
Country	Telephone	Fax	

Name (Print/Type)	James E. Shultz Jr.	Registration No. (Attorney/Agent)	50 511
Signature		Date	<u>Sept. 11, 2003</u>

This collection of information is required by 37 CFR 1.53(b). The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop Patent Application, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.  
If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

CERTIFICATE OF MAILING

I hereby certify that this paper, together with all enclosures identified herein, are being deposited with the United States Postal Service as Express Mail, using Label No. EK674197085US, addressed to the Commissioner for Patents, Mail Stop Patent Application, P.O. Box 1450, Alexandria, VA 22313-1450, on the date indicated below.

9-11-2003  
Date

Katie E. Dykgraaf  
Katie E. Dykgraaf

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants : Frederick T. Bauer et al.

For : DEVICES INCORPORATING ELECTROCHROMIC  
ELEMENTS AND OPTICAL SENSORS

Commissioner for Patents  
Mail Stop Patent Application  
P.O. Box 1450  
Alexandria, VA 22313-1450

Dear Sir:

Enclosed herewith is the above-identified patent application comprised of the following parts:

- 1) Postcard
- 2) 32 Pages of Specification
- 3) 6 Pages of Claims (39 claims)
- 4) 1 Page of Abstract
- 5) 9 Pages of Drawings
- 6) Declaration and Power of Attorney (Copy from Prior Application)
- 7) Associate Power of Attorney
- 8) Authorization to Charge Deposit Account
- 9) Express Mail Certificate
- 10) Form PTO/SB/05
- 11) Application Data Sheet
- 12) Filing Fee:

Basic Filing Fee \$750.00	\$ 750.00
Additional Fees	
Each independent claim in excess of three, zero times \$84.00	\$ 000.00
Number of claims in excess of twenty, nineteen times \$18.00	\$ 342.00
Filing multiple dependent claims per application \$280.00	<u>\$0,000.00</u>
Total Filing Fee	<u>\$1,092.00</u>

Applicants : Frederick T. Bauer et al.  
For : DEVICES INCORPORATING ELECTROCHROMIC ELEMENTS  
AND OPTICAL SENSORS  
Page : 2

An Authorization to Charge Deposit Account is enclosed, which authorizes the Commissioner to charge payment of any concurrent or future fees associated with the filing and prosecution of this application to Deposit Account No. 07-1070. A duplicate of the Authorization is also enclosed.

Respectfully submitted,

FREDERICK T. BAUER ET AL.

Sept. 11, 2003  
Date

James E. Shultz Jr.  
James E. Shultz Jr.  
Registration Number 50 511  
Gentex Corporation  
600 North Centennial Street  
Zeeland, MI 49467  
(616) 772-1590 x539

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicants : Frederick T. Bauer et al.  
For : DEVICES INCORPORATING ELECTROCHROMIC  
ELEMENTS AND OPTICAL SENSORS

Commissioner for Patents  
Mail Stop Patent Application  
P.O. Box 1450  
Alexandria, VA 22313-1450

Dear Sir:

**AUTHORIZATION TO CHARGE DEPOSIT ACCOUNT**

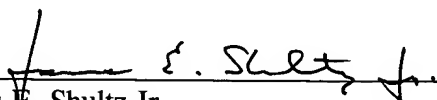
The Commissioner is hereby authorized to charge payment of the following fees during the pendency of this application, or credit any overpayment to Deposit Account No. 07-1070.

- 1) Any filing fees required under 37 C.F.R. §1.16 for which full payment has not been tendered.
- 2) Any patent application processing fees under 37 C.F.R. §1.17 for which full payment has not been tendered.
- 3) Any assignment recording fee under 37 C.F.R. §1.21 for which payment has not been tendered.

Pursuant to 37 C.F.R. §1.136(a)(3), the Commissioner is hereby authorized to treat any concurrent or future reply for this application that requires an extension of time as incorporating a request therefor. Any request or petition for an extension of time should be treated as requesting the appropriate length of time notwithstanding an inadvertent reference in the petition to a shorter period of time. A duplicate of this sheet is enclosed.

Respectfully submitted,  
FREDERICK T. BAUER ET AL.

Sept. 11, 2003  
Date

  
James E. Shultz Jr.  
Registration No. 50 511  
Gentex Corporation  
600 North Centennial Street  
Zeeland, Michigan 49464  
(616) 772-1590 x539

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Application of : Frederick T. Bauer et al.  
Serial No. : To Be Assigned  
Filed : Herewith  
For : DEVICES INCORPORATING ELECTROCHROMIC  
ELEMENTS AND OPTICAL SENSORS

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Commissioner for Patents  
Mail Stop Patent Application  
P.O. Box 1450  
Alexandria, VA 22313-1450

**EXPRESS MAIL CERTIFICATE**

Date of Deposit: September 11, 2003  
Express Mail No.: EK674197085US

I hereby certify that a Continuation Patent Application including 32 pages of specification, 6 pages of claims, 1 page of abstract and 9 pages of drawings as well as Declaration and Power of Attorney (copy from prior application), Associate Power of Attorney, Authorization to Charge Deposit Account, Form PTO/SB/05, Fee Transmittal, Application Data Sheet, and a Return Receipt Postcard are being deposited with the United States Postal Service on the date indicated above and is addressed to: Commissioner for Patents, Mail Stop Patent Application, P.O. Box 1450, Alexandria, VA 22313-1450.

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Date

  
Katie E. Dykgraaf